



Attorney's Docket No. 2002US301

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Joseph E. Oberlander et al

Serial No.: 10/042,532

Group Art Unit: 1733

Filed: January 9, 2002

Examiner: NOT ASSIGNED

For: POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS  
OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION**

#3  
D.6.  
8-14-02  
RECEIVED  
MAY 14 2002  
TC 1700  
COPY OF PAPERS  
ORIGINALLY FILED

Sir/Madam:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

**2. PRELIMINARY STATEMENTS**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**3. COMPLETED FORM PTO-1449 IS ATTACHED.**

**4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED**

☐ This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_. The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:


☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Sangya Jain  
Reg. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
Telephone: 908-429-3536

**RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney's Docket No.: 2002US301  
Filing Date: January 9, 2002  
Serial No. 10/042,532

Receipt is hereby acknowledged of:

- 1) Transmittal of Information Disclosure Statement w/Cert. of Mlg by 1st Class Mail
- 2) Information Disclosure Statement s except for 4,112,967.9

Sent by : 1st Class Mail on April <sup>29<sup>th</sup></sup> ~~28~~, 2002

BOX IDS  
Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

**Complete Return Address Label And  
Affix To Front Of Postcard**

1733

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 2002US301	
In Re Application Of: Joseph E. Oberlander et al				
Serial No. 2002US301	Filing Date January 9, 2002	Examiner NOT ASSIGNED		Group Art Unit 1733
Title: Positive-Working Photoimageable Bottom Antireflective Coating				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

OTPE  
MAY 10 2002  
PATENT & TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
MAY 14 2002  
TC 1700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2002US301

In Re Application: J seph E. Oberlander et al

Serial No.

2002US301

Filing Date

January 9, 2002

Examiner

NOT ASSIGNED

Group Art Unit

1733

**Positive-Working Photoimageable Bottom Antireflective Coating****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited April 29, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Vivian Austin

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: April 26, 2002

*S. Jain*  
Signature

Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
telephone (908) 429-3536

COPY OF PAPERS  
ORIGINALLY FILED**RECEIVED**  
MAY 14 2002  
TC 1700

CC: